



#3

Attorney Docket No.: 10251-027

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of Entitled	:	Robert J. FEILBOGEN, et al. METHOD AND SYSTEM FOR FOREIGN EXCHANGE PRICE PROCUREMENT AND AUTOMATED HEDGING	INFORMATION DISCLOSURE STATEMENT
U.S. Serial No.	:	09/871,569	
Filing Date	:	May 31, 2001	
Our Reference	:	10251-027	

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith a PCT International Search Report, a PTO-1449 form, and the information listed thereon. This information was cited in the corresponding PCT application.

It is requested that the information listed in the accompanying PTO-1449 form be considered herein.

Respectfully submitted,
PROSKAUER ROSE LLP
Attorneys for the Applicant(s)

Date: September 17, 2001

PROSKAUER ROSE LLP
1585 Broadway
New York, NY 10036
Tel: (212) 969-3000

By *Rachel S. Watt*
Rachel S. Watt, Patent Agent
Reg. No. 46,186

Enclosures: PTO-1449 Form w/ cited references
PCT International Search Report



#3

PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,266.00

Compleat if Known

Application Number	09/871,569
Filing Date	May 31, 2001
First Named Inventor	Robert J. FEILBOGEN
Examiner Name	
Group Art Unit	2161
Attorney Docket No.	10251-027

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **16-2500**
Deposit Account Name **Proskauer Rose LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
37	-20** = 17	18	306.00
4	-3** = 1	80	80.00
Multiple Dependent			

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 386.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 170.00

SUBMITTED BY

Name (Print/Type)	Rachel S. Watt, Patent Agent	Registration No. (Attorney/Agent)	46,186	Telephone	212.969.3000
Signature	<i>Rachel Watt</i>	Date	Sep 17, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#4

Form PTO-1449 (Rev. 6-98) U.S. Department of Commerce Patent and Trademark Office										Attorney Docket No. 10251-027		Serial No. 09/871,569	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)										Applicant Robert J. FEILBOGEN			
										Filing Date 5/31/01		Group Art Unit 2161	
U.S. PATENT DOCUMENTS													
Examiner Initial	Document Number							Date	Name	Class	Sub Class		
	5	6	2	0	1	8	2	4/15/97	Rossides				
	5	7	0	4	0	4	5	12/30/97	King, et al.				
	5	8	8	4	2	7	4	3/16/99	Walker, et al.				
FOREIGN PATENT DOCUMENTS													
Examiner Initial	Document Number							Date	Country	Class	Sub Class	Translation	
												Yes	No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
Examiner								Date Considered					
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP§60 : Draw line through citation if not in conformance and not considered, include copy of this form with next communication to the patent owner.													